

FORM PCT/PTO
(REV. 5-93)

17 OCT 1994

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

CASE NO. 2860-026

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)
08/537736

INTERNATIONAL APPLICATION NO.
PCT/US94/04314

INTERNATIONAL FILING DATE
April 19, 1994

PRIORITY DATE CLAIMED
April 19, 1993

TITLE OF INVENTION **RANDOM CHEMISTRY FOR THE GENERATION OF NEW COMPOUNDS**

APPLICANT(S) FOR DO/EO/US **STUART A. KAUFFMAN AND JULIUS REBEK, JR.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

CALCULATIONS

PTO USE ONLY

17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):
Search Report has been prepared by the EPO or JPO \$880.00

International preliminary examination fee paid to USPTO
(37 CFR 1.482) \$680.00

No international preliminary examination fee paid to USPTO
(37 CFR 1.482) but international search fee paid to USPTO
(37 CFR 1.445(a)(2)) \$750.00

Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . \$1010.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and
all claims satisfied provisions of PCT Article 33(2)-(4) \$94.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 680.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	69 - 20 =	49	X \$22.00	\$ 1,078.00	
Independent Claims	8 - 3 =	5	X \$78.00	\$ 390.00	
Multiple dependent claim(s) (if applicable)			+ \$250.00	\$ 250.00	
TOTAL OF ABOVE CALCULATIONS =				\$	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 2,528.00	
Processing fee of \$130.00 for furnishing the English translation later than the					
<input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$ 2,528.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 2,528.00	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 2,528.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send All Correspondence to:
Jeffery M. Duncan, Esq.
WILLIAM BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610

Signature

Jeffery M. Duncan

Name

31,609

Registration Number

07 Rec'd PCT/PTD 17 OCT 1995

08/537736

"Express Mail" mailing label number
TB 677082109 US

Date of Deposit October 17, 1995

I hereby certify that this paper or
fee is being deposited with the United
States Postal Service "Express Mail Post
Office to Addressee" service under 37
CFR § 1.10 on the date indicated above
and is addressed to the Assistant
Commissioner for Patents and Trademarks,
Washington, D.C. 20231, Attention: DO/EO/US

Andrew Burgess
(Typed or printed name of person
mailing paper or fee)

Andrew Burgess
(Signature of person mailing paper)

FORM PTO-1390 (REV. 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		CASE NO. 2860-026
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)
INTERNATIONAL APPLICATION NO. PCT/US94/04314	INTERNATIONAL FILING DATE April 19, 1994			PRIORITY DATE CLAIMED April 19, 1993
TITLE OF INVENTION RANDOM CHEMISTRY FOR THE GENERATION OF NEW COMPOUNDS				
APPLICANT(S) FOR DO/EO/US STUART A. KAUFFMAN AND JULIUS REBEK, JR.				

PATENT APPLICATION SERIAL NO. **08/537736**

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

SE18118 06/12/96 08537736

23-1925 180 254 65.00CR

510 WC 03/21/96 08537736

1 154 130.00-CK

1 254 130.00 CK

OK to Refund 65.00

PTO-1556
(5/87)

190 MS 11/06/95 08537736

190 MS 11/06/95 08537736

190 MS 11/06/95 08537736

190 MS 11/06/95 08537736

190 MS 11/06/95 08537736

1 956 480.00 ER
1 964

1 966 1,078.00 CK

1 968 250.00 CK

1 154 130.00 CK